Office of Justice Programs
Office for Victims of Crime

OMB No. 1121-0329



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Office for Victims of Crime</u> (OVC) is pleased to announce that it is seeking applications for Bridging the Gap in Victim-Related Research to Practice. This program furthers the Department's mission to strengthen the capacity of victim service providers to serve victims by laying the groundwork for an initiative to enhance communication and collaboration between researchers and practitioners toward more effective services for victims, families, and communities.

# Bridging the Gap in Victim-Related Research to Practice

## **Eligibility**

Applicants are limited to private nonprofit organizations (including tribal nonprofit organizations), faith-based and community-based organizations, colleges and universities (including tribal institutions of higher education), public agencies, tribal governments, and tribal organizations. Applicants must have an established, documented track record of supporting research related to crime victimization, and research to practice analysis and implementation, as well as the staff resources and capability to design, administer, analyze, and interpret a national-scope assessment. A private nonprofit organization does not have to have 501(c)(3) status to apply for grant funding under this solicitation. OVC encourages partnerships between researchers and victim service professionals to ensure that the proposed approach captures the full range of perspectives in the victim services field.

#### Deadline

Applicants must register with Grants.gov prior to submitting an application. (See "How To Apply," page 17.) All applications are due by 11:59 p.m. eastern time on June 10, 2013. (See "Deadlines: Registration and Application," page 3.)

#### **Contact Information**

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 1–800–518–4726 (606–545–5035 for applicants that reside outside the United States), or via e-mail to <a href="mailto-support@grants.gov">support@grants.gov</a>. Note: The Grants.gov Support Hotline hours of operation are 24 hours a day, 7 days a week, except federal holidays.

For assistance with any other requirements of this solicitation, contact Meg Morrow, Attorney Advisor, at 202–353-0591 or via e-mail at Meg.Morrow@usdoj.gov.

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## Bridging the Gap in Victim-Related Research to Practice (CFDA #16.582)

#### **Overview**

In response to concerns voiced by victim service providers, the Office for Victims of Crime (OVC) recognizes a need to assist the field in becoming more educated consumers of—and contributors to—research and evaluation that can lead to more effective and cost-efficient services for victims of crime. There is also a need to assist the research community in disseminating findings in ways that are accessible, understandable, and useful for the victim services field. OVC will award a cooperative agreement for a comprehensive assessment of victim service providers to ascertain their level of awareness and knowledge about the benefits of social science research and program evaluation for their work with victims of crime, paired with an assessment of researchers' interest in, and capacity for, translating their work for a practitioner audience. This project is funded through 42 U.S.C. § 10603(c)(1)(A).

## **Deadlines: Registration and Application**

Applicants must register with Grants.gov in order to submit an application. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date. The deadline to apply for funding under this announcement is 11:59 p.m. eastern time on June 10, 2013. See "How To Apply" on page 17 for details.

## **Eligibility**

Refer to the title page for eligibility under this program.

NOTE: The recipient of this award will not be eligible to apply for any future solicitation that derives from the findings and recommendations made to OVC by the recipient of this award.

## **Project-Specific Information**

In Fiscal Year (FY) 2011, OVC launched Vision 21, a comprehensive initiative to design a philosophical and strategic framework that will define the role of the field in the Nation's response to crime and move the field forward in the future. One of the most compelling findings to emerge from the Vision 21 stakeholder meetings was a widespread need in the victims field to know more about the nature and extent of criminal victimization and "what works" to ameliorate the adverse effects of victimization. The demand for more research and evaluation ranked high among the priorities for stakeholders participating in all of the Vision 21 forums. Solving the "research-to-practice gap" was recognized as a critically important goal.

Researchers are well aware of this gap, having long lamented that their work fails to influence the field. "Scared Straight" and "D.A.R.E." are two examples of interventions that remain popular

despite negative evaluations. Other interventions that have been studied and found effective are not widely known or utilized. For example, the National Institute of Child Health and Human Development developed a child forensic interviewing protocol that was rigorously evaluated and found effective in eliciting reliable reports from child victims. Yet, in a national survey of child advocacy centers, only 6.9 percent of their interviewers were trained in this protocol. A much larger percentage of interviewers were trained in the Finding Words/Cornerhouse or National Child Advocacy Center protocols (59 percent and 52 percent, respectively), neither of which has been as extensively researched or reported in the academic literature (National Child Advocacy Center, August 2009).

Former National Institute of Justice Director John Laub explained his vision of "translational criminology" in a January 2013 interview with *The Crime Report*: "It's a two-way street. Scientists inform criminal-justice practitioners of their scientific discoveries. Practitioners offer their unique observations that can inform scientists and generate new areas of research inquiry."

In FY 2012, OVC issued a solicitation titled *Training and Technical Assistance To Improve Understanding and Application of Research and Evaluation in Victim Services*. The intent was to support a comprehensive needs assessment, followed by development of online training and technical assistance. No award was made under that solicitation, largely because applicants assumed that the field is well aware of the existence of relevant research and evaluation and appreciates the value to their work with victims. As Vision 21 stakeholders made clear, many victim service providers do not have even this minimal level of awareness. Through this solicitation, OVC will support national assessments of victim service providers and researchers to ascertain the nature and scope of the apparent gap between practitioners and researchers. The results of this project will inform future plans to support improved communication and collaboration between researchers and practitioners.

#### Goals, Objectives, and Deliverables

The goal of the project is to assess the capacity and readiness of victim service providers and social science researchers to engage in meaningful dialogue about critical issues and emerging knowledge in the victims field.

#### Objectives are to—

- Design a methodology for outreach to a broad range of victim service providers and researchers in criminal justice and the social sciences.
- Implement activities planned to ascertain knowledge and awareness of research and evaluation among the victims field.
- Implement activities planned to ascertain level of interest in, and capacity for, translating research for practitioners among the research community.
- Recommend approaches to bridging observed gaps.

Deliverables include (1) a detailed plan for conducting the proposed assessments, and (2) a final report describing methodology, findings, challenges and caveats, and recommendations for addressing gaps in communication and collaboration between researchers and practitioners.

#### **Evidence-Based Programs or Practices**

OJP places a strong emphasis on the use of data and evidence in policymaking and programming in criminal justice. OJP is committed to—

- Improving the quantity and quality of evidence OJP generates.
- Integrating evidence into program, practice, and policy decisions within OJP and the field.
- Improving the translation of evidence into practice.

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence, generally obtained through one or more outcome evaluations. Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence-based. OJP's <a href="CrimeSolutions.gov">CrimeSolutions.gov</a> Web site is one resource that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

#### **Amount and Length of Award**

OVC anticipates that it will make one award of up to \$750,000 for an 18-month project period.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

Based on the findings and recommendations of the grantee under the Bridging the Gap in Victim-Related Research to Practice solicitation, OVC may decide to release a solicitation (or solicitations) for funding in the future. The recipient of the award under the Bridging the Gap in Victim-Related Research to Practice solicitation will not be eligible to apply for any future solicitation that derives from the findings of this project.

#### **Budget Information**

#### Limitation on Use of Award Funds for Employee Compensation; Waiver

With respect to any award of more than \$250,000 made under this solicitation, recipients may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the award recipient at a rate that exceeds 110% of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. The 2013 salary table for SES employees is available at <a href="https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/">www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/</a>. Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, the limitation on compensation rates allowable under an award. An applicant requesting a waiver should include a detailed justification in the budget narrative of the application. Unless the applicant submits a waiver request and justification with the application, the applicant should anticipate that OJP will request the applicant to adjust and resubmit the budget.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service the individual will provide, the individual's specific knowledge of the

program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work to be done.

#### **Minimization of Conference Costs**

OJP encourages applicants to review the OJP guidance on conference approval, planning, and reporting that is available on the OJP Web site at <a href="www.ojp.gov/funding/confcost.htm">www.ojp.gov/funding/confcost.htm</a>. This guidance sets out the current OJP policy, which requires all funding recipients that propose to hold or sponsor conferences (including meetings, trainings, and other similar events) to minimize costs, requires OJP review and prior written approval of most conference costs for cooperative agreement recipients (and certain costs for grant recipients), and generally prohibits the use of OJP funding to provide food and beverages at conferences. The guidance also sets upper limits on many conference costs, including facility space, audio/visual services, logistical planning services, programmatic planning services, and food and beverages (in the rare cases where food and beverage costs are permitted at all).

Prior review and approval of conference costs can take time (see the guidance for specific deadlines), and applicants should take this into account when submitting proposals. Applicants also should understand that conference cost limits may change and that they should check the guidance for updates before incurring such costs.

Note on food and beverages: OJP may make exceptions to the general prohibition on using OJP funding for food and beverages, but will do so only in rare cases where food and beverages are not otherwise available (e.g., in extremely remote areas); the size of the event and capacity of nearby food and beverage vendors would make it impractical to not provide food and beverages; or a special presentation at a conference requires a plenary address where conference participants have no other time to obtain food and beverages. Any such exception requires OJP's prior written approval. The restriction on food and beverages does not apply to water provided at no cost, but does apply to any and all other refreshments, regardless of the size or nature of the meeting. Additionally, this restriction does not affect direct payment of per diem amounts to individuals in a travel status under your organization's travel policy.

#### **Costs Associated With Language Assistance (if applicable)**

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services where appropriate.

For additional information, see the "Civil Rights Compliance" section of the OJP "Other Requirements for OJP Applications" Web page at <a href="https://www.ojp.usdoj.gov/funding/other-requirements.htm">www.ojp.usdoj.gov/funding/other-requirements.htm</a>.

#### **Match Requirement**

This solicitation does not require a match. However, if a successful application proposes a voluntary match amount and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

#### **Performance Measures**

To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111–352, applicants that receive funding under this solicitation must provide data that measure the results of their work done under this solicitation. OJP will require any award recipient, post award, to provide the data requested in the "Data Grantee Provides" column so that OJP can calculate values for the "Performance Measures" column. Performance measures for this solicitation are as follows:

Objective	Performance Measure(s)	Data Grantee Provides
The goal of the project is to assess the capacity and readiness of victim service providers and social science researchers to engage in meaningful dialogue about critical issues and emerging knowledge in the victims field.	Number of deliverables that meet OVC's expectations for depth, breath, scope, quality of study, and pertinence.	Number of deliverables that meet OVC's expectations for depth, breath, scope, quality of study, and pertinence.
	Percent of deliverables completed on time.	Number of deliverables completed.  Number of deliverables completed on time.
	Percent of recommended activities implemented.	Number of recommended activities resulting from study.  Number of activities implemented.

OJP does not require applicants to submit performance measures data with their applications. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section "What an Application Should Include" on page 8 for additional information.

#### **Note on Project Evaluations**

Applicants that propose to use funds awarded through this solicitation to conduct project evaluations should be aware that certain project evaluations (such as systematic investigations designed to develop or contribute to generalizable knowledge) may constitute "research" for purposes of applicable DOJ human subjects protection regulations. However, project evaluations that are intended only to generate internal improvements to a program or service, or are conducted only to meet OJP's performance measure data reporting requirements likely do not constitute "research." Applicants should provide sufficient information for OJP to determine whether the particular project they propose would either intentionally or unintentionally collect and/or use information in such a way that it meets the DOJ regulatory definition of research.

Research, for the purposes of human subjects protections for OJP-funded programs, is defined as, "a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge" 28 C.F.R. § 46.102(d). For additional information on determining whether a proposed activity would constitute research, see the decision tree to assist applicants in the "Research and the Protection of Human Subjects" section of the OJP "Other Requirements for OJP Applications" Web page (<a href="www.ojp.usdoj.gov/funding/other-requirements.htm">www.ojp.usdoj.gov/funding/other-requirements.htm</a>). Applicants whose proposals may involve a research or statistical component also should review the "Confidentiality" section on that Web page.

## **Notice of Post-Award FFATA Reporting Requirement**

Applicants should anticipate that OJP will require all recipients (other than individuals) of awards of \$25,000 or more under this solicitation, consistent with the Federal Funding Accountability and Transparency Act of 2006 (FFATA), to report award information on any first-tier subawards totaling \$25,000 or more, and, in certain cases, to report information on the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients. Each applicant entity must ensure that it has the necessary processes and systems in place to comply with the reporting requirements should it receive funding. Reports regarding subawards will be made through the FFATA Subaward Reporting System found at <a href="https://www.fsrs.gov">www.fsrs.gov</a>.

Please note also that applicants should anticipate that no subaward of an award made under this solicitation may be made to a subrecipient (other than an individual) unless the potential subrecipient acquires and provides a Data Universal Numbering System (DUNS) number.

## What an Application Should Include

Applicants should anticipate that if they fail to submit an application that contains all of the specified elements, it may negatively affect the review of their application; and, should a decision be made to make an award, it may result in the inclusion of special conditions that preclude the recipient from accessing or using award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that applications that are determined to be nonresponsive to the scope of the solicitation, or that do not include the application elements that OVC has designated to be critical, will neither proceed to peer review nor receive further consideration. Under this solicitation, OVC has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet, and Budget Narrative. The Budget Detail Worksheet and the Budget Narrative may be combined in one document; however, if only one document is submitted, it must contain **both** detail information and narrative.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet and Budget Narrative," "Timelines," "Memoranda of Understanding," "Resumes") for all attachments. Also, OJP recommends that applicants include resumes in a single file.

1. **Information To Complete the Application for Federal Assistance (SF-424)**The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and GMS take information

from the applicant's profile to populate the fields on this form. When selecting "type of applicant," if the applicant is a for-profit entity, select "For-Profit Organization" or "Small Business" (as applicable).

#### 2. Project Abstract (Attachment 1)

Applications should include a high-quality "Project Abstract" that summarizes the proposed project in 400 words or less. Project abstracts should be—

- Written for a general public audience.
- Submitted as a separate attachment with <Project Abstract> as part of the file name
- Single-spaced, using a standard 12-point font (Times New Roman) with 1inch margins.

The abstract should be a summary that clearly describes the following:

- Applicant's name, title of the project, and dollar amount requested.
- Type of service(s) to be provided (comprehensive or specialized).
- Target population to be served (i.e., all or specialized)
- Jurisdiction or geographic area where activities will be focused.
- Goals, objectives, and key deliverables of the project.

As a separate attachment, the project abstract will **not** count against the page limit for the program narrative.

All project abstracts should follow the detailed template available at www.ojp.usdoj.gov/funding/Project Abstract Template.pdf.

**Permission to share project abstract with the public:** It is unlikely that OVC will be able to fund all promising applications submitted under this solicitation, but it may have the opportunity to share information with the public regarding promising but unfunded applications, for example, through a listing on a Web page available to the public. The intent of this public posting would be to allow other possible funders to become aware of such proposals.

In the project abstract template, applicants are asked to indicate whether they give OJP permission to share their project abstract (including contact information) with the public. Granting (or failing to grant) this permission will not affect OJP's funding decisions, and, if the application is not funded, granting permission will not guarantee that abstract information will be shared, nor will it guarantee funding from any other source.

Note: OJP may choose not to list a project that otherwise would have been included in a listing of promising but unfunded applications, should the abstract fail to meet the format and content requirements noted above and outlined in the project abstract template.

#### 3. Program Narrative

The program narrative should not exceed 25 doubled-spaced pages in 12-point font with 1-inch margins, and must include 5 separate sections: Problem Statement, Project Goals and Objectives, Project Design/Implementation Plan, Organizational Capability and Project Management, and Plans for Measuring Progress and Outcomes. Each section is described below. If the program narrative fails to comply with these length-

related restrictions, noncompliance may be considered in peer review and in final award decisions.

- Statement of the Problem: The problem statement must provide strong rationale for the project and provide a clear statement of how funding will support the project's value to the victims field by meeting a stated goal. The problem statement must make a convincing case that the project addresses a gap in existing resources and does not duplicate existing resources.
- Project Goals and Objectives: The applicant must specify the goals and objectives
  of the project. The goals should state the overall purpose of what is to be
  accomplished. The objectives should be measurable, relate directly to the issues
  described in the statement of the problem, and describe the steps necessary to
  reach the goals or how the goals will be accomplished.
- Project Design and Implementation Plan: The project design and implementation plan must describe the project strategy and discuss how the strategy will address the identified problems and support the goals and objectives. The applicant must convincingly document that the proposed project will be national and fully comprehensive in scope; that is, the approach will capture victim service providers and researchers throughout the Nation without limitations to specific states, jurisdictions, or type of victimization addressed in services or studies. The applicant's strategy or design must include clear descriptions of project phases, tasks, activities, staff responsibilities, interim deliverables, and final products.

A time-task plan must include the designation of organizational responsibility, a schedule for the completion of the activities, and the submission of finished products. In preparing the time-task plan, Gant chart, or schedule, applicants should make certain that all project activities will occur within the proposed project period.

Capabilities and Competencies: Organizational capability will be assessed on the
basis of the applicant's described management structure and financial capability,
results of current grant efforts (if applicable), and the applicant's project management
plan and documentation of the professional staff members' unique qualifications to
perform their assigned tasks. Applicants must describe how the program will be
managed and include an organizational chart or information describing the roles and
responsibilities of key organizational and functional components and personnel.

Applicants must also include a list of personnel responsible for managing and implementing the major stages of the project. If additional staff will be hired to complete the project, the applicant should identify the selection criteria. Applicants should also provide detailed information about staff committed to work on the project contingent upon receipt of funding. Applicants must document that key staff on the project possess the requisite expertise to design and conduct a national assessment; analyze and interpret findings; and offer recommendations for next steps based on those findings. Applicants must demonstrate sufficient experience and expertise in the areas of victim services and social science/criminal justice research to ensure that the national assessments are appropriate and sensitive to the perspectives of

their intended respondents. Applicants must state their administrative and financial capability to manage federal grants.

Plan for Collecting the Data Required for this Solicitation's Performance
 Measures: OVC does not require applicants to submit performance measures data
 with their application. Performance measures are included as an alert that OVC will
 require successful applicants to submit specific data as part of their reporting
 requirements. For the application, applicants should indicate an understanding of
 these requirements and discuss how they will gather the required data, should they
 receive funding.

#### 4. Budget Detail Worksheet and Budget Narrative

#### a. Budget Detail Worksheet

A sample Budget Detail Worksheet can be found at <a href="https://www.ojp.gov/funding/forms/budget\_detail.pdf">www.ojp.gov/funding/forms/budget\_detail.pdf</a>. Applicants that submit their budget in a different format should include the budget categories listed in the sample budget worksheet.

For questions pertaining to budget and examples of allowable and unallowable costs, see the OJP Financial Guide at <a href="https://www.ojp.usdoi.gov/financialguide/index.htm">www.ojp.usdoi.gov/financialguide/index.htm</a>.

- Travel for training: Applicants should plan to attend an annual OVC discretionary grantee meeting in Washington, D.C., and, with the exception of local grantees, should include line items detailing all estimated travel expenses associated with attending this meeting. Applicants should budget for a 3-day meeting. Applicants that receive annual funding of more than \$100,000 should also budget costs to attend one Financial Management Training Seminar sponsored by OJP's Office of the Chief Financial Officer (OCFO) (unless the grantee has previously attended this seminar) or take the online Financial Management Training course offered to all grantees. Specific information about the dates and locations of upcoming OCFO Financial Management Training Seminars can be found at <a href="www.oip.usdoj.gov/training/fmts.htm">www.oip.usdoj.gov/training/fmts.htm</a>. To access the online Financial Management Training course, please go to the Office of Justice Programs: Training and Technical Assistance Web site at <a href="mailto:gfm.webfirst.com/">gfm.webfirst.com/</a>.
- 2) **Consultant rates:** Consultant rates may not exceed the maximum of \$450/day or, if paid by the hour, \$56.25/hour for a maximum 8-hour work day.
- 3) **Consultant travel:** Travel costs associated with project staff who are <u>not directly employed</u> by the grantee organization must be listed under the Consultant Category on the budget information sheet.
- 4) **OJP Financial Guide:** All grantees are required to comply with the regulations and requirements outlined in the OJP Financial Guide. The Financial Guide includes information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. Copies are available through the OJP Web site at <a href="https://www.ojp.usdoj.gov/financialguide/index.htm">www.ojp.usdoj.gov/financialguide/index.htm</a>. This document will

govern the administration of funds by all successful applicants and their contractors.

#### b. Budget Narrative

The budget narrative should thoroughly and clearly describe <u>every</u> category of expense listed in the budget detail worksheet. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

Applicants should demonstrate in their budget narrative how they will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project. For example, a budget narrative should detail why planned in-person meetings are necessary, or how technology and collaboration with outside organizations could be used to reduce costs, without compromising quality.

The budget narrative should be mathematically sound and correspond with the information and figures provided in the budget detail worksheet. The budget narrative should explain how the applicant estimated and calculated <u>all</u> costs, and how they are relevant to the completion of the proposed project. The budget narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the budget detail worksheet, the budget narrative should be broken down by year.

#### 5. Indirect Cost Rate Agreement (if applicable)

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) Attach a copy of the rate approval to the application. Applicants that do not have an approved rate may request one through their cognizant federal agency, which will review all documentation and approve a rate for the applicant organization; or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. If DOJ is the cognizant federal agency, obtain information needed to submit an indirect cost rate proposal at <a href="https://www.ojp.usdoj.gov/funding/pdfs/indirect\_costs.pdf">www.ojp.usdoj.gov/funding/pdfs/indirect\_costs.pdf</a>.

#### 6. Tribal Authorizing Resolution (if applicable)

Tribes, tribal organizations, or third parties proposing to provide direct services or assistance to residents on tribal lands should include in their applications a resolution, a letter, affidavit, or other documentation, as appropriate, that certifies that the applicant has the legal authority from the tribe(s) to implement the proposed project on tribal lands. In those instances when an organization or consortium of tribes applies for a grant on behalf of a tribe or multiple specific tribes, then the application should include appropriate legal documentation, as described above, from all tribes that would receive services/assistance under the grant. A consortium of tribes for which existing consortium bylaws allow action without support from all tribes in the consortium (i.e., without an authorizing resolution or comparable legal documentation from each tribal governing body) may submit, instead, a copy of its consortium bylaws with the application.

Applicants that are unable to submit with the application a fully-executed (i.e., signed) copy of appropriate legal documentation, as described above, consistent with the applicable tribe's governance structure, should, at minimum, submit an unsigned, draft

version of such legal documentation as part of its application (except in cases where, with respect to a tribal consortium applicant, consortium bylaws allow action without the support of all consortium member tribes). If selected for funding, OVC will make use of and access to funds contingent on receipt of the fully-executed legal documentation.

#### 7. Additional Attachments

#### a. Applicant disclosure of pending applications.

Applicants are to disclose whether they have pending applications for federally funded assistance that include requests for funding to support the same project being proposed under this solicitation <u>and</u> will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation. The disclosure should include both direct applications for federal funding (e.g., applications to federal agencies) and indirect applications for such funding (e.g., applications to state agencies that will be subawarding federal funds).

OJP seeks this information to help avoid any inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Applicants that have pending applications as described above are to provide the following information about pending applications submitted within the last 12 months:

- the federal or state funding agency;
- the solicitation name/project name; and,
- the point of contact information at the applicable funding agency.

Federal or State Funding Agency	Solicitation Name/Project Name	Name/Phone/E-mail for Point of Contact at Funding Agency
DOJ/COPS	COPS Hiring Program	Jane Doe, 202/000-0000; jane.doe@usdoj.gov
HHS/ Substance Abuse & Mental Health Services Administration	Drug Free Communities Mentoring Program/ North County Youth Mentoring Program	John Doe, 202/000-0000; john.doe@hhs.gov

Applicants should include the table as a separate attachment, with the file name "Disclosure of Pending Applications," to their application. Applicants that do not have pending applications as described above are to include a statement to this effect in the separate attachment page. (E.g., "[Applicant Name] does not have pending applications submitted within the last 12 months for federally funded assistance that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation.")

#### b. Privacy Certificate

OVC and recipients of OVC funding are subject to confidentiality requirements protecting research and statistical information collected that is identifiable to a private person under the DOJ regulations found at 28 CFR Part 22. Identifying characteristics include, but are not limited to, identifiers such as name, address, Social Security number or other identifying number, fingerprints, voiceprints, photographs, genetic information, or any other item or combination of data about a person that could reasonably lead, directly or indirectly, by reference to other information, to identification of that individual(s), OVC requires recipients of OVC funding to submit a Privacy Certificate prior to engaging in any project activities that involve data collection on individuals through observations, interviews, reports, or review of administrative records, or any project tasks likely to result in the gathering or development of information identifiable to individuals. OVC-funded activities that require a Privacy Certificate prior to conducting the activity include, but may not be limited to, a needs assessment, program evaluation, survey, or focus group interviews. If the applicant's project includes any activity listed above, the applicant must include a privacy certificate with the application materials submitted. For sample privacy certificates, visit www.ojp.usdoj.gov/ovc/grants/help.html#forms and view the two model privacy certificates available for adaptation.

#### c. Résumés of key personnel must be provided.

For positions that are vacant, provide job descriptions outlining roles and responsibilities and provide the selection criteria for the proposed new positions (required).

- d. Letters of support and/or memoranda of understanding (MOU) should be provided from agencies and organizations whose support and collaboration is integral to the successful implementation of the project (if applicable).
- e. A detailed time-task plan must be provided (required).

#### 8. Other Standard Forms

Additional forms that OJP may require in connection with an award are available on OJP's funding page at <a href="www.ojp.usdoj.gov/funding/forms.htm">www.ojp.usdoj.gov/funding/forms.htm</a>. For successful applicants, receipt of funds may be contingent upon submission of all necessary forms. Note in particular the following forms:

- a. Standard Assurances\*
  - Applicants must read, certify, and submit this form in GMS prior to the receipt of any award funds.
- b. <u>Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements</u>

   Applicants must read, certify, and submit in GMS prior to the receipt of any award funds.
- c. Accounting System and Financial Capability Questionnaire
  Any applicant (other than an individual) that is a non-governmental entity and that
  has not received any award from OJP within the past 3 years must download,
  complete, and submit this form.

\*These OJP Standard Assurances and Certifications are forms that applicants accept in GMS. They are not additional forms to be uploaded at the time of application submission.

#### **Selection Criteria**

The Program Narrative elements (see pages 10–11) will be weighted as follows:

- 1. Statement of the Problem (10%)
- 2. Project Goals and Objectives (10%)
- 3. Project Design and Implementation Plan (35%)
- 4. Capabilities and Competencies (30%)
- 5. Plan for Collecting the Data Required for this Solicitation's Performance Measures (5%)
- 6. Budget: complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should demonstrate how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project. (10%)

#### **Review Process**

OJP is committed to ensuring a fair and open process for awarding grants. OVC reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. OVC may use either internal peer reviewers, external peer reviewers, or a combination to review the applications. An external peer reviewer is an expert in the subject matter of a given solicitation who is NOT a current DOJ employee. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. A peer review panel will evaluate, score, and rate applications that meet basic minimum requirements. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

OCFO, in consultation with OVC, reviews applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants, examines proposed costs to determine if the Budget Detail Worksheet and Budget Narrative accurately explain project costs, and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

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<sup>&</sup>lt;sup>1</sup> Generally speaking, a reasonable cost is a cost that if, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

## **Additional Requirements**

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at <a href="www.ojp.usdoj.gov/funding/other\_requirements.htm">www.ojp.usdoj.gov/funding/other\_requirements.htm</a>.

- Civil Rights Compliance
- Civil Rights Compliance Specific to State Administering Agencies
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with the OJP Financial Guide
- Suspension or Termination of Funding
- Nonprofit Organizations
- For-profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act (FFATA) of 2006
- Awards in Excess of \$5,000,000—Federal Taxes Certification Requirement

- Policy and Guidance for Conference Approval, Planning, and Reporting
- OJP Training Guiding Principles for Grantees and Subgrantees

### **How To Apply**

Applicants must submit applications through Grants.gov. Applicants must first register with Grants.gov in order to submit an application through Grants.gov, a "one-stop storefront" to find federal funding opportunities and apply for funding. Find complete instructions on how to register and submit an application at <a href="www.Grants.gov">www.Grants.gov</a>. Applicants that experience technical difficulties during this process should call the Grants.gov Customer Support Hotline at 800–518–4726 or 606–545–5035, 24 hours a day, 7 days a week, except federal holidays. Registering with Grants.gov is a one-time process; however, processing delays may occur, and it can take several weeks for first-time registrants to receive confirmation and a user password. OJP encourages applicants to register several weeks before the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

**Note:** OVC encourages all prospective applicants to sign up for Grants.gov email notifications regarding this solicitation. If this solicitation is cancelled or modified, individuals who sign up with Grants.gov for email updates will be notified.

All applicants are required to complete the following steps:

- 1. Acquire a Data Universal Numbering System (DUNS) number. In general, the Office of Management and Budget requires that all applicants (other than individuals) for federal funds include a DUNS number in their applications for a new award or a supplement to an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and differentiating entities receiving federal funds. The identifier is used to for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a DUNS number or apply online at <a href="www.dnb.com">www.dnb.com</a>. A DUNS number is usually received within 1-2 business days.
- 2. Acquire registration with the System for Award Management (SAM). SAM replaces the Central Contractor Registration (CCR) database as the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. OJP requires all applicants (other than individuals) for federal financial assistance to maintain current registrations in the SAM database. Applicants must be registered in SAM to successfully register in Grants.gov. (Previously, organizations that had submitted applications via Grants.gov were registered with CCR, as it was a requirement for Grants.gov registration. SAM registration replaces CCR as a pre-requisite for Grants.gov registration.) Applicants must update or renew their SAM registration annually to maintain an active status.

Applicants that were previously registered in the CCR database must, at a minimum:

Create a SAM account:

 Log in to SAM and migrate permissions to the SAM account (all the entity registrations and records have already been migrated).

Applicants that were not previously registered in the CCR database must register in SAM prior to registering in Grants.gov. Information about SAM registration procedures can be accessed at <a href="https://www.sam.gov">www.sam.gov</a>.

- Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. The applicant organization's DUNS number must be used to complete this step. For more information about the registration process, go to www.grants.gov/applicants/get registered.jsp.
- 4. Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. Note that an organization can have more than one AOR.
- Search for the funding opportunity on Grants.gov. Use the following identifying
  information when searching for the funding opportunity on Grants.gov. The Catalog of
  Federal Domestic Assistance (CFDA) number for this solicitation is 16.582, titled "Crime
  Victim Assistance/Discretionary Grants," and the funding opportunity number is OVC2013-3612.
- 6. Complete the Disclosure of Lobbying Activities. All applicants must complete this information. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the *Disclosure of Lobbying Activities* form (SF-LLL). Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.
- 7. Submit an application consistent with this solicitation by following the directions in Grants.gov. Within 24–48 hours after submitting the electronic application, the applicant should receive an e-mail validation message from Grants.gov. The message will state whether OJP has received and validated the application, or rejected it, with an explanation. <a href="Important:">Important:</a> OJP urges applicants to submit applications well in advance of the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

Note: Grants.gov only permits the use of specific characters in names of attachment files. Valid file names may only include the following characters: A-Z, a-z, 0-9, underscore ( ), hyphen (-), space, and period. Grants.gov will forward the application to GMS. GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip."

#### **Note: Duplicate Applications**

If an applicant submits multiple versions of an application, OVC will review the most recent version submitted.

#### **Experiencing Unforeseen Grants.gov Technical Issues**

Applicants that experience unforeseen Grants.gov technical issues beyond their control that prevent them from submitting their application by the deadline must e-mail the OVC contacts identified in the Contact Information section on the title page within 24 hours after the application deadline and request approval to submit their application. The e-mail must describe the technical difficulties, and include a timeline of the applicant's submission efforts, the complete grant application, the applicant's DUNS number, and any Grants.gov Help Desk or SAM tracking number(s). Note: OVC does not automatically approve requests. After the program office reviews the submission and contacts the Grants.gov or SAM Help Desks to validate the reported technical issues, OJP will inform the applicant whether the request to submit a late application has been approved or denied. If the technical issues reported cannot be validated, OJP will reject the application as untimely.

The following conditions are <u>not</u> valid reasons to permit late submissions: failure to register in sufficient time, failure to follow Grants.gov instructions on how to register and apply as posted on its Web site, failure to follow each instruction in the OJP solicitation, and technical issues with the applicant's computer or information technology environment, including firewalls.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding Web page at <a href="https://www.ojp.usdoj.gov/funding/solicitations.htm">www.ojp.usdoj.gov/funding/solicitations.htm</a>.

#### Provide Feedback to OJP on This Solicitation

To assist OJP in improving its application and award processes, we encourage applicants to provide feedback on this solicitation, the application submission process, and/or the application review/peer review process. Feedback may be provided to <a href="mailto:OJPSolicitationFeedback@usdoj.gov">OJPSolicitationFeedback@usdoj.gov</a>.

**IMPORTANT:** This e-mail is for feedback and suggestions only. Replies are **not** sent from this mailbox. If you have specific questions on any program or technical aspect of the solicitation, **you must** directly contact the appropriate number or e-mail listed on the front of this solicitation document. These contacts are provided to help ensure that you can directly reach an individual who can address your specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, please e-mail your resume to <a href="mailto:ojppeerreview@lmbps.com">ojppeerreview@lmbps.com</a>. The OJP Solicitation Feedback e-mail account cannot forward your resume. **Note:** Neither you nor anyone else from your organization can be a peer reviewer in a competition in which you or your organization have submitted an application.

## **Application Checklist**

## OVC FY 2013 Bridging the Gap in Victim-Related Research to Practice

This application checklist has been created to assist in developing an application.

Eligibility Requirement:	
Applicants are limited to private nonprofit organicorganizations), faith-based and community-based orga (including tribal institutions of higher education), public organizations. Applicants must have an established, do research related to crime victimization, research to practite staff resources and capability to design, administer assessment. A private nonprofit organization does not grant funding under this solicitation.	nizations, colleges and universities agencies, tribal governments, and tribal ocumented track record of supporting ctice analysis and implementation, and , analyze, and interpret a national-scope
The federal amount requested is within the allow	vable limit(s) of \$750,000.
What an Application Should Include:	
Application for Federal Assistance (SF-424)	(see page 8)
Program Narrative	(see page 9)
Budget Detail Worksheet	(see page 11)
Budget Narrative	(see page 12)
Disclosure of Lobbying Activities (SF-LLL)	(see page 18)
Indirect Cost Rate Agreement (if applicable)	(see page 12)
Tribal Authorizing Resolution (if applicable)	(see page 12)
Additional Attachments	

(see page 13)

(see page 14)

(see page 14)

(see page 14)

Disclosure of Pending Applications

Letters of Support/Memoranda of Understanding (if applicable)

Other Standard Forms, as applicable, including:

\_\_\_\_\_ Accounting System and Financial

Capability Questionnaire (if applicable)

Resumes